(Original Signature of Member)

116TH CONGRESS 2D SESSION

8

## H. CON. RES.

Expressing the sense of Congress with respect to the principles that should guide the national artificial intelligence strategy of the United States.

## IN THE HOUSE OF REPRESENTATIVES

Mr. Hurd of Texas submitted the following concurrent resolution; which was referred to the Committee on \_\_\_\_\_

## **CONCURRENT RESOLUTION**

Expressing the sense of Congress with respect to the principles that should guide the national artificial intelligence strategy of the United States.

Resolved by the House of Representatives (the Senate concurring),
SECTION 1. GUIDING PRINCIPLES OF THE NATIONAL ARTIFICIAL INTELLIGENCE STRATEGY OF THE UNITED STATES.
(a) FINDINGS.—Congress finds the following:
(1) In general, artificial intelligence is the abil-

ity of a computer system to solve problems and to

perform tasks that would otherwise require human
intelligence.

- (2) Artificial intelligence will transform the nature of work and nearly all aspects of the United States economy.
  - (3) Artificial intelligence will have immense implications for the security of the United States and its allies and partners.
  - (4) Investments made by the United States Government will be instrumental in the research and development of artificial intelligence and artificial intelligence-enabling technologies, as it has been for many of the world's revolutionary technologies.
  - (5) Developing and using artificial intelligence in ways that are ethical, reduce bias, promote fairness, and protect privacy is essential for fostering a positive effect on society consistent with core United States values.
  - (6) The Obama Administration released the Big Data Research and Development Initiative in 2012, Executive Order 13702 (relating to creating a national strategic computing initiative) in 2015, and the National Artificial Intelligence Research and Development Strategic Plan in 2016.

1 (7) The Trump Administration released Execu2 tive Order 13859 (relating to maintaining American
3 leadership in artificial intelligence), updated the Na4 tional Artificial Intelligence Research and Develop5 ment Strategic Plan in 2019, and released Office of
6 Management and Budget guidance for regulation of
7 artificial intelligence applications in 2020.

- (8) In May 2019, the Organisation for Economic Co-operation and Development (OECD) adopted the OECD Principles on Artificial Intelligence, which included the principles of inclusive growth, sustainable development and well-being, human-centered values and fairness, transparency and explainability, robustness, security and safety, and accountability.
  - (9) In June 2020, the G7 and several partners launched the Global Partnership on Artificial Intelligence to increase cooperation focused around the areas of responsible artificial intelligence, data governance, the future of work, and innovation and commercialization.
- (10) Several United States allies, including Canada, Denmark, Estonia, France, Finland, Germany, the Netherlands, and South Korea, have pub-

- lished national artificial intelligence strategies with
   detailed funding commitments.
- 3 (11) In 2017, China published a national artifi-4 cial intelligence strategy that detailed the Chinese 5 Communist Party's goal to become the world's pri-6 mary artificial intelligence innovation center by 7 2030.

- (12) In 2019, Russia published a national artificial intelligence strategy and, in 2017, Russian President Vladimir Putin said that "whoever becomes the leader in this sphere will become the ruler of the world".
  - (13) In 2018, the Subcommittee on Information Technology of the Committee on Oversight and Government Reform of the House of Representatives, under the leadership of Chairman Will Hurd and Ranking Member Robin Kelly, published "Rise of the Machines: Artificial Intelligence and its Growing Impact on U.S. Policy" following a series of hearings on artificial intelligence with experts from academia, industry, and government, concluding that "the United States cannot maintain its global leadership in artificial intelligence absent political leadership from Congress and the Executive Branch".

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1	(14) Congress serves a critical role in estab-
2	lishing national priorities, funding scientific research
3	and development, supporting emerging technologies,
4	and sustaining cooperation with our allies to protect
5	the national security of the United States.
6	(b) National Artificial Intelligence Strategy
7	Principles.—It is the sense of Congress that the fol-
8	lowing principles should guide the national artificial intel-
9	ligence strategy of the United States:
10	(1) Global leadership.
11	(2) A prepared workforce.
12	(3) National security.
13	(4) Effective research and development.
14	(5) Ethics, reduced bias, fairness, and privacy.
15	SEC. 2. GLOBAL LEADERSHIP.
16	It is the sense of Congress that the United States
17	should take a global leadership role in artificial intel-
18	ligence.
19	SEC. 3. WORKFORCE PREPARATION.
20	(a) FINDINGS.—Congress finds the following:
21	(1) Artificial intelligence and automation will
22	present significant challenges to workers in affected
23	industries, but will also automate routine and repet-
24	itive tasks to give workers more time to focus on

- other tasks that involve social and creative intelligence.
- 3 (2) Closing the artificial intelligence talent gap 4 in the short and medium-term will require a targeted 5 approach to identifying and filling roles that require 6 artificial intelligence skills.

- (3) The United States should take a leadership role in the artificial intelligence-driven economy by filling the artificial intelligence talent gap and preparing United States workers for the jobs of the future, including by prioritizing inclusivity and equal opportunity.
- (4) Departments and agencies of the Federal Government are increasingly using data to administer benefits, assess outcomes, and fulfill other mission-critical activities.
- (5) Effectively creating, managing, and implementing artificial intelligence related research and development grants will require technical expertise.
- (6) Departments and agencies of the Federal Government will need to be able to recruit employees with technical expertise.
- (7) The United States needs a conceptual restructuring of education to reflect the necessity of a lifelong learning process.

1	(8) Artificial intelligence will exacerbate the un-
2	predictable nature of what skills will be in demand
3	or obsolete in the future.
4	(9) An artificial intelligence-driven economy will
5	require social and creative intelligence in addition to
6	technical skills.
7	(10) Lifelong learning and skill acquisition can
8	increase flexibility with respect to career opportuni-
9	ties.
10	(11) The United States will need to be able to
11	attract the best artificial intelligence researchers and
12	computer scientists from around the world to work
13	in the United States.
14	(b) Matters to Consider.—
15	(1) Education.—
16	(A) IN GENERAL.—It is the sense of Con-
17	gress that the Federal Government should—
18	(i) increase funding for existing tech-
19	nology education programs;
20	(ii) develop voluntary guidelines for
21	universities with respect to how to incor-
22	porate a liberal arts curriculum, including
23	requirements for courses with respect to
24	ethics, into science, technology, engineer-
25	ing, mathematics, and computer science

1	curriculums and how to incorporate statis-
2	tics and data-driven decision making into
3	complementary fields;
4	(iii) ensure that new education path-
5	ways incorporate industry-sponsored,
6	standards-based, and recognized stackable
7	credentials, including certifications and
8	certificates, as part of degree tracks; and
9	(iv) develop strategic partnerships
10	with the private sector to create a Federal
11	program for the purposes of increasing the
12	relevant skills of current educators and en-
13	suring that more teachers are available in
14	school districts that are under-served with
15	respect to science, technology, engineering,
16	mathematics, and computer science edu-
17	cators.
18	(B) Education modernization stud-
19	IES.—It is the sense of Congress that congres-
20	sional committees should conduct studies with
21	respect to how to adapt the education system in
22	the United States to prepare students and
23	workers for an artificial intelligence-driven
24	economy, including studies with respect to the

following:

1	(i) How States, universities, and local
2	educational agencies can—
3	(I) update curriculums to include
4	relevant subjects;
5	(II) make education more afford-
6	able; and
7	(III) promote inclusivity for
8	under-represented communities and
9	marginalized groups.
10	(ii) How computer and data science
11	education can be taught to students at an
12	earlier age.
13	(iii) How to encourage colleges and
14	State institutions to update their credit
15	transfer policies to make it easier for stu-
16	dents to transfer their course credits.
17	(2) Promoting diversity.—It is the sense of
18	Congress that the Federal Government should—
19	(A) implement policies to ensure the inclu-
20	sion of under-represented communities and
21	marginalized groups in existing technology edu-
22	cation programs;
23	(B) create programs for the purpose of ex-
24	ploring ways to increase workforce diversity and

1	the retention of diverse talent at all levels of an
2	organization; and
3	(C) review recruitment and retention poli-
4	cies with respect to under-represented commu-
5	nities and marginalized groups for the purpose
6	of determining if such policies require modifica-
7	tion for the technology sector.
8	(3) Artificial intelligence training.—
9	(A) In general.—It is the sense of Con-
10	gress that the Federal Government should as-
11	sess the effectiveness of current job training
12	and safety net programs with respect to how
13	adept such programs are likely to be in address-
14	ing job disruptions and job creations that result
15	from the increased use of artificial intelligence.
16	(B) Work-based training programs.—
17	It is the sense of Congress that the Federal
18	Government should consider the importance of
19	work-based training programs, including Last
20	Mile Training programs, in preparing the
21	United States workforce for an artificial intel-
22	ligence-driven economy, including by—
23	(i) undertaking studies to determine
24	the best methods to promote such pro-

1	grams and ensure such programs are ac-
2	credited;
3	(ii) ensuring that such programs have
4	the opportunity to receive Federal funding
5	under relevant Federal programs; and
6	(iii) creating a pilot program that in-
7	cludes job training programs as programs
8	for which individuals may be eligible to re-
9	ceive a Federal Pell Grant.
10	(4) Hiring practices.—It is the sense of Con-
11	gress that the Federal Government should—
12	(A) allow technical experts to use their
13	skills to assist multiple departments and agen-
14	cies of the Federal Government;
15	(B) create fellowship programs with re-
16	spect to artificial intelligence education for the
17	purpose of increasing the number of individuals
18	with artificial intelligence expertise that the
19	Federal Government can recruit;
20	(C) include in the criteria for recruiting for
21	artificial intelligence jobs the consideration of a
22	multi-disciplinary set of skills and an under-
23	standing of ethics;
24	(D) review hiring practices for employment
25	in the Federal Government for the purpose of

1	ensuring that such practices do not disqualify
2	individuals with a less traditional background,
3	due to a lack of degree attainment, who have
4	artificial intelligence skills; and
5	(E) conduct studies with respect to best
6	practices for hiring on the basis of a skills-
7	based approach.
8	SEC. 4. NATIONAL SECURITY.
9	(a) FINDINGS.—Congress finds the following:
10	(1) Artificial intelligence will have immense im-
11	plications for national and international security.
12	(2) Artificial intelligence tools and systems can
13	augment human intelligence through human-ma-
14	chine collaboration and teaming across the national
15	security ecosystem.
16	(3) Ensuring that the public trusts the ability
17	of the military to ethically use artificial intelligence
18	and that human operators in human-machine teams
19	trust the artificial intelligence will be critical factors
20	with respect to the successful implementation of ar-
21	tificial intelligence systems.
22	(4) The continued proliferation of national arti-
23	ficial intelligence strategies, plans, statements, and

investments demonstrates the increase in global

competition in this area.

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1	(5) New paradigms will be required to effec-
2	tively test artificial intelligence and to ensure that it
3	is reliable and stable.
4	(6) Export and investment controls will be im-
5	portant policy tools to prevent the acquisition of sen-
6	sitive artificial intelligence and artificial intelligence-
7	enabling technologies, including hardware such as
8	semiconductors and semiconductor manufacturing
9	equipment, by China, Russia, and other adversaries.
10	(b) Matters to Consider.—
11	(1) Collaboration with foreign Na-
12	TIONS.—It is the sense of Congress that the United
13	States should—
14	(A) leverage its alliances to promote demo-
15	cratic principles, foster research collaboration,
16	and develop common standards with respect to
17	artificial intelligence;
18	(B) promote the interoperability of artifi-
19	cial intelligence for the purpose of strength-
20	ening alliances;
21	(C) along with allies, take a leading role in
22	international forums to set artificial intelligence
23	principles, norms, and standards; and
24	(D) undertake efforts to engage with
25	China and Russia with respect to—

1	(i) shared concerns about artificial in-
2	telligence safety; and
3	(ii) confidence-building by establishing
4	crisis communications procedures designed
5	to reduce the likelihood of unintentional
6	use and the risk of escalation with respect
7	to artificial intelligence systems.
8	(2) Foreign artificial intelligence capa-
9	BILITY.—It is the sense of Congress that national
10	security agencies should consider conditions-based
11	and capabilities-based approaches when evaluating
12	global artificial intelligence capabilities.
13	(3) Development and deployment.—It is
14	the sense of Congress that national security agencies
15	should—
16	(A) collaborate with experts in academia,
17	the private sector, and other departments and
18	agencies of the Federal Government to develop
19	best practices for testing, evaluation, validation,
20	and verification of artificial intelligence
21	systems;
22	(B) devote institutional resources,
23	including investing in research, for the purpose
24	of promoting trustworthiness with respect to
25	human-machine teams:

1	(C) engage with experts to develop guide-
2	lines for the ethical development and use of ar-
3	tificial intelligence systems; and
4	(D) prioritize the development of artificia
5	intelligence systems to cover non-critical tasks
6	until such systems can achieve suitable stand-
7	ards of reliability, interoperability, and security
8	(4) Export and investment controls.—It
9	is the sense of Congress that the United States
10	should collaborate with its allies to prevent the mis-
11	use of artificial intelligence systems by China, Rus-
12	sia, and other adversaries.
13	SEC. 5. RESEARCH AND DEVELOPMENT.
13 14	SEC. 5. RESEARCH AND DEVELOPMENT.  (a) FINDINGS.—Congress finds the following:
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14 15	<ul><li>(a) FINDINGS.—Congress finds the following:</li><li>(1) Federal funding plays an important role in</li></ul>
14 15 16	<ul><li>(a) FINDINGS.—Congress finds the following:</li><li>(1) Federal funding plays an important role in the research and development cycle.</li></ul>
14 15 16 17	<ul> <li>(a) FINDINGS.—Congress finds the following:</li> <li>(1) Federal funding plays an important role in the research and development cycle.</li> <li>(2) Federal research and development invested</li> </ul>
14 15 16 17	<ul> <li>(a) FINDINGS.—Congress finds the following:</li> <li>(1) Federal funding plays an important role in the research and development cycle.</li> <li>(2) Federal research and development investments need to be significantly increased to ensure</li> </ul>
114 115 116 117 118	<ul> <li>(a) FINDINGS.—Congress finds the following:</li> <li>(1) Federal funding plays an important role in the research and development cycle.</li> <li>(2) Federal research and development investments need to be significantly increased to ensure United States leadership in artificial intelligence.</li> </ul>
114 115 116 117 118 119 220	<ul> <li>(a) FINDINGS.—Congress finds the following:</li> <li>(1) Federal funding plays an important role in the research and development cycle.</li> <li>(2) Federal research and development investments need to be significantly increased to ensure United States leadership in artificial intelligence.</li> <li>(3) Federally supported research will play an</li> </ul>
14 15 16 17 18 19 20 21	<ul> <li>(a) FINDINGS.—Congress finds the following:</li> <li>(1) Federal funding plays an important role in the research and development cycle.</li> <li>(2) Federal research and development investments need to be significantly increased to ensure United States leadership in artificial intelligence.</li> <li>(3) Federally supported research will play an important role in supporting artificial intelligence.</li> </ul>

tems and making more efficient use of computing resources.

- (4) Artificial intelligence advances are enabled by Federal research and development investments in other technology sectors because United States competitiveness will depend on strong capabilities across a range of technologies.
  - (5) Computing power is essential to progress in artificial intelligence development, and the amount of computing power required for artificial intelligence training runs is increasing exponentially.
  - (6) A new wave of technological advances could be fostered by combining and increasing access to government-owned and government-funded computing and data resources.
  - (7) Narrowing the digital divide will be essential to creating new job opportunities and stimulating the growth of new technology and innovation clusters to support United States leadership in artificial intelligence.
  - (8) Incentivizing research and development across the private sector, particularly from smaller companies, will further strengthen the United States innovation ecosystem.

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1	(9) The United States is an attractive research
2	and development partner because of its open demo-
3	cratic society with world-class universities, research
4	institutes, and corporations.
5	(10) Decades of experience show that joint
6	work with foreign researchers can be done with
7	great benefit and little detriment to United States
8	economic and national security, with the implemen-
9	tation of proper safeguards.
10	(11) Artificial intelligence standards and meas-
11	urement are essential to fostering artificial intel-
12	ligence technologies that are safe, secure, reliable,
13	and comport with the norms and values of the
14	United States.

(12) Metrics are how the artificial intelligence research community guides itself and prioritizes research.

- (13) Benchmark tests are necessary to understand the performance of an artificial intelligence system.
- (14) Current tests for measuring artificial intelligence range from vague and conceptual to well-defined and mature.
- 24 (15) Artificial intelligence measurement meth-25 odologies are not static and will require periodic re-

1	examinations and updates of testing methodologies
2	to ensure that artificial intelligence systems are
3	functioning optimally.
4	(16) United States leadership in global artificial
5	intelligence standards-setting will help ensure that
6	artificial intelligence implementations are in accord-
7	ance with United States strengths and comport with
8	the interests and values of the United States.
9	(17) Public engagement is necessary for devel-
10	oping voluntary standards frameworks to ensure di-
11	verse perspectives are considered.
12	(b) Matters to Consider.—
13	(1) Federal funding.—It is the sense of
14	Congress that the Federal Government should in-
15	crease investments in artificial intelligence research
16	and development and related fields.
17	(2) Collaboration with other entities.—
18	It is the sense of Congress that the Federal Govern-
19	ment should collaborate—
20	(A) with the private sector, civil society,
21	and academia—
22	(i) to ensure that the United States
23	innovation ecosystem leads the world in ar-
24	tificial intelligence research and develop-
25	ment; and

1	(ii) to develop a voluntary standards
2	framework that will help create shared
3	conceptual foundations, terminology, and
4	best practices for artificial intelligence fair-
5	ness and bias mitigation; and
6	(B) with science funding organizations in
7	allied countries to establish multilateral teams
8	of artificial intelligence researchers from the
9	public and private sectors to promote talent de-
10	velopment and foster partnerships on artificial
11	intelligence research and development.
12	(3) Narrowing the digital divide.—It is
13	the sense of Congress that the Federal Government
14	should—
15	(A) expand access to broadband in rura
16	and underserved areas;
17	(B) expand the availability of affordable
18	graphics processing units and high-performance
19	computers in rural and underserved areas;
20	(C) improve digital infrastructure in the
21	United States; and
22	(D) make data created by federally-funded
23	scientific and technical research publicly avail-

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1	provide artificial intelligence researchers with
2	new data sets to train their systems.
3	(4) National computing and data re-
4	SOURCE.—It is the sense of Congress that Congress
5	should consider establishing a national computing
6	and data resource.
7	(5) Access to National Laboratories.—It
8	is the sense of Congress that the existing supercom-
9	puting labs at the national laboratories and tech-
10	nology centers of the Department of Energy should
11	expand opportunities for academics and researchers
12	to access such labs for artificial intelligence research
13	and research related to artificial intelligence.
14	(6) Tax incentives.—It is the sense of Con-
15	gress that Congress should examine whether tar-
16	geted incentives and reforms to the Internal Revenue
17	Code of 1986 would increase private sector research
18	and development, particularly with respect to small
19	cap corporations.
20	SEC. 6. ETHICS, REDUCED BIAS, FAIRNESS, AND PRIVACY.

- 21 (a) FINDINGS.—Congress finds the following:
- 22 (1) The rise of artificial intelligence has great 23 potential to improve quality of life for individuals in 24 the United States, provided it is developed and used

1	in a manner that is ethical, reduces bias, promotes
2	fairness, and protects privacy.
3	(2) A diverse artificial intelligence workforce is
4	important for mitigating bias.
5	(3) The United States is uniquely positioned to
6	leverage its diverse workforce to lead in artificial in-
7	telligence.
8	(4) The starting point for Federal oversight of
9	artificial intelligence should be existing regulatory
10	frameworks.
11	(5) Regulatory sandboxes can be used to test a
12	product designed to mitigate unintended bias or pro-
13	mote fairness in a small-scale environment and
14	under the supervision of regulators.
15	(6) Programs should have necessary safeguards
16	and oversight processes.
17	(7) Artificial intelligence regulatory approaches
18	must consider the level of risk associated with dif-
19	ferent artificial intelligence applications.
20	(b) Matters to Consider.—
21	(1) BIAS MITIGATION.—It is the sense of Con-
22	gress that departments and agencies of the Federal
23	Government should—
24	(A) support technical and non-technical re-
25	search and development to address potential

1	bias, fairness, and privacy issues in artificial in-
2	telligence;
3	(B) improve access to non-sensitive govern-
4	ment datasets to help reduce bias in the data
5	used to train artificial intelligence systems;
6	(C) implement title II of the Foundations
7	for Evidence-Based Policymaking Act of 2018
8	(Public Law 115–435; 132 Stat. 5529); and
9	(D) further develop and release to the pub-
10	lic available benchmark datasets, including with
11	proper safeguards to protect privacy, mitigate
12	bias, and promote inclusivity.
13	(2) REGULATION AND LEGISLATION REVIEW.—
14	It is the sense of Congress that congressional com-
15	mittees should—
16	(A) review the range of existing Federal
17	regulations and laws that potentially apply to
18	artificial intelligence;
19	(B) determine which laws apply to artifi-
20	cial intelligence;
21	(C) determine if any gaps in appropriate
22	legislation and regulation exist and how such
23	gaps could be addressed;
24	(D) enact Federal privacy legislation to
25	build trust, prevent harm, prevent ceding lead

1	ership in artificial intelligence to other nations,
2	and create international standards; and
3	(E) conduct regular oversight of artificial
4	intelligence policies in the executive branch
5	within their jurisdiction.
6	(3) Federal funding.—It is the sense of
7	Congress that Congress should support funding for
8	departments and agencies of the Federal Govern-
9	ment interested in adopting programs, including reg-
10	ulatory sandboxes, for the purposes of temporarily
11	approving, testing, and monitoring innovating artifi-
12	cial intelligence tools in limited markets.